Atty. Dkt. No.: 7451.0001-15 InterTrust Ref. No.: IT-5.0.1.1 (US)

## **United States Patent Application**

of

Karl L. Ginter Victor H. Shear Francis J. Spahn and David M. Van Wie

for

Systems and Methods for Secure Transaction Management and Electronic Rights Protection

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PATENT

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

Prior Application: 09/328,671

Filed: June 9, 1999

Of: Karl L. Ginter, et al.

For: SYSTEMS AND METHODS FOR SECURE TRANSACTION MANAGEMENT

AND ELECTRONIC RIGHTS PROTECTION

Group Art Unit: 2767

Examiner: G. Barron, Jr.

SIR:

This is a request for filing a ■ Continuation □ Divisional Application under 37 C.F.R. §1.53(d) of pending prior application Serial No. 09/328,671, filed June 9, 1999, which is a continuation of application Serial No. 08/964,333, filed November 4, 1997, which has since issued as U.S. Patent No. 5,982,891, which is a continuation of parent application Serial No. 08/388,107 filed February 13, 1995, now abandoned.

- 1. Enclosed is a complete copy of the prior application as originally filed including the drawings. I hereby verify that the attached papers are a true copy of prior application Serial No. 09/328,671, as originally filed on June 9, 1999.
- 3. Cancel Claims 2-90.
- 4. A Preliminary Amendment is enclosed.
- 5. The filing fee is calculated on the basis of the claims existing in the prior application as amended at 3 and 4 above.

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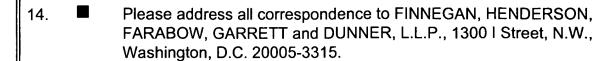


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For	Number Filed	Number	Extra	Rate	Basic Fee	\$	710.00	
Total Claims	<del></del> -	-20=	3	x\$18.00=	Dasic ree	\$	54.00	
Independent	<del>                                     </del>	-3 =	4	x\$80.00=		\$	320.00	
Claims	'   '	-3 -		Αψου.υυ-	1	Ψ	320.00	
	endent Claim(s) (	if applicab	le)	\$260.00=				
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	Reduc	tion by 2 f	or filing	by small entity		·		İ
	TOTAL FILING FEE			\$1,084.00				
6. <b>■</b>	A check in the a							
	required including fees due under 37 C.F.R. §1.16 and any other fees due under 37 C.F.R. §1.17, or credit any overpayment during the pendency of this application to Deposit Account No. 06-0916.							
8.	Amend the specification by inserting before the first line, the sentence:							
	This application is a continuation of copending application Serial No. 09/328,671, filed June 9, 1999, which is a continuation of application Serial No. 08/964,333, filed November 4, 1997, now U.S. Patent No. 5,982,891, which is a continuation of patent application Serial No.							
	08/388,107 filed			o, now abandor	ned all of w	hich	are	
	incorporated her	ein by reie	rence.					
9. 🗆	New formal drawings are enclosed.							
10.	The prior application is assigned of record to: InterTrust Technologies Corporation.							
11. 🗆	Priority of applica	ation Seria ntry) is cla	l No imed ur	, filed der 35 U.S.C. §	on §119. A certi	_ in fied	сору	
	☐ is enclo	sed or	☐ is on	file in the prior	application.			
12. 🗆	☐ A verified statement claiming small entity status							
	☐ is enclo	sed or	□ is on	file in the prior	application.			
13.	Applicants also e			f the Declaration	n and Power	of A	attorney	



<u>PETITION FOR EXTENSION</u>. If any extension of time is necessary for the filing of this application, including any extension in the immediate parent application, Serial No. 09/328,671, filed June 9, 1999, for the purpose of maintaining copendency between the parent application and this application, and such extension has not otherwise been requested, such an extension is hereby requested, and the Commissioner is authorized to charge necessary fees for such an extension to our Deposit Account No. 06-0916. A duplicate copy of this paper is enclosed for use in charging the deposit account.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Date: October 2, 2000

Linda J. Thayer Reg. No. 45,681

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